

Genesis Financial Company Customer Relationship Summary "CRS"

<p>Introduction</p>	<p>Genesis Financial Company located in Florida , USA is an investment advisor and a member of Finra, (Financial Industry Regulatory Industry). It is important for you to understand the various investment advisory fees. Free and simple research tools are available To investigate firms and individuals you may Visit Investor.gov- Also the site will provide general information on investing and the finance industry</p>
<p>What Investments services and advice can you provide me ?</p>	<p>Our Advisor service offers discretionary Models for stocks, bonds and other financial Instruments for all levels of investors The Models are constructed using Client Questionnaires and ascertaining your risk tolerance and goals- Our minimum Investments for the model portfolio is \$25.00 Interactive Brokers is our Broker / Dealer on which all Trades are executed . If the broker/dealer is changed you will be notified in writing and given the option to stay on Interactive if desired</p>

CONVERSATION STARTERS:

Given my financial situation, should I choose an advisor service, a broker service or both? Why or Why not?

How will you choose the right investments for me?

What is your experience, including licenses, education, and other qualifications for an advisor? What does these mean?

What Fees will I pay ?

Genesis Financial Company Charges a management fee Based on assets under Management. Fees are 2.00% per year for 1-24,999.99 dollars 1.0% for \$25,00-\$4,999,999.99 and .75% for \$5,000,000 or more In addition, A Stocks and bonds are available free of fees charged by Genesis but Mutual Funds may charge Management Fees .Genesis Financial Company does not Collect any of those fees . You will be provided with all the information on Mutual Fund fees BEFORE you invest . This will contained in the prospectus provided to you free of charge

***Mutual fund fees and costs are charged by the funds
whether you make money or lose money on your
investments Fees and costs will reduce the amount of
money you earn with investments***

Over time. Please understand the fees and costs you are incurring and ask questions if you do not understand.

Conversation starters:

Help me understand how fees and costs can affect my investments. If I gave you \$10,000, how much will go to fees? How much to investments?

As an investment advisor, we provide you investment recommendations and we must act in your best interest and not put our interests ahead of yours. Managing money creates some conflicts of interest, due to the nature of the investments. You should understand and ask us about potential conflicts. Below are some examples.

- . Mutual fund managers may offer educational programs at reduced costs
This may sway an advisor to choose that fund over another**
- . Some Portfolio managers may offer free data, that may influence our advisors**

What are your legal Obligations, when providing Services and recommendations as an investment advisor?
How Else does the firm make money and what conflicts of interest do you have?

Our Advisors act under FINRA's Fiduciary Rule. All investment vehicles must be in your best interest. All of your rights and our obligations are outlined in the Client Policy Supplement and our Privacy Statements. You will receive a copy of those statements before you sign for services and any changes that may occur in the interim. All activity by our advisors are under the purvey of Federal Regulators which are found on FINRA.org. Ongoing education ensures our advisors are holding your best interests above all else and complying with SEC and FINRA rules

Conversation starters

How do these conflicts of interest affect me , and show me how you address them?

HOW YOUR FINANCIAL PROFESSIONAL MAKE MONEY

All advisors are paid from Fees charged for Assets Under Management. basis. For complete information see us Compensation Disclosure, which will be provided BEFORE you become a client

Conversation starters

How are fees paid and what happens if I decide to withdraw from the program? Do I get money back? If so, how much?

DO YOUR INVESTMENT PROFESSIONALS HAVE LEGAL OR DISPLINARY HISTORY?

No representative or the company has these Issues as of June 2024

**ADDITIONAL
INFORMATION**

**For more information See our Regulatory
Disclosures-**

OR Contact

Genesis Financial Company

1261 Greenview Lane

Gulf Breeze, Fl 32563

8507761157

Conversation Starters

Who is my primary contact?- Is he an investment advisor or broker?

Who can I talk to about concerns I may have with the way I am being handled?